

## PATENT

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant: Stevens, Fred J. et al.

Application: "DEVICE FOR DETECTING MOLECULES, METHOD FOR DETECTING  
MOLECULES"

Serial No.: 09/368,989

Filed: August 5, 1999

Art Unit: 1641

Examiner: Dr. Lisa V. Cook

CERTIFICATE OF MAILING: I hereby certify that this correspondence is being deposited with the United States Postal Service pursuant to 37 C.F.R. 1.8 as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on October 23, 2001 (Date of Deposit)

Michael J. Cherskov

Name of Representative

  
Signature of Representative10/23/01  
Date of Sig.Assistant Commissioner for Patents  
Washington, D.C. 2023120 North Wacker Drive  
Chicago, IL 60606  
312-621-133037 CFR 1.131 Affidavit  
of Fred J. STEVENS

Dear Sir:

Dr. Fred J. Stevens, being first duly sworn, deposes and says that:

1. I am a joint inventor of the invention described and claimed in the above-identified patent application.
2. I declare that conception of the invention occurred in the United States.
3. A device for detecting molecules and the method for detecting molecules as described and claimed in the instant application was reduced to practice at least as

37 C.F.R. 1.131

In re: Stevens, Fred J.

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early as April 27, 1998. An invention report (copy attached hereto as Exhibit A) describing an embodiment of the invention was prepared by Victoria Henson-Apollonio on April 27, 1998, and signed by myself and a co-inventor on May 11, 1998.

4. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and may jeopardize the validity of the aforesaid patent application.

Date: 12-17-2001

Fred J. Stevens  
Fred J. Stevens

## INVENTION REPORT

ANL CASE #: ANL-IN-98-026

DOE CASE #:

INVENTORS: Fred J. Stevens  
Marianne SchifferTITLE: JANUSBODY: A COMPACT MOLECULES WITH ANTIBODY-  
ANTIGEN BINDING CHARACTERISTICS

DESCRIPTION: This invention embodies the manufacture of a new type of synthetic protein, a "Janusbody". Such a molecule would possess less complexity, but would retain the binding characteristics of a conventional antibody molecule. A "Janusbody" is a compact, bivalent protein consisting of a dimeric assembly of a single antibody domain.

Conventional antibody molecules are used in a wide variety of applications from detection/diagnostic systems and assays to treatment protocols. Currently, antibodies are manufactured in the form of polyclonal antisera by immunizing animals and subsequent collection of sera, as monoclonal antibodies produced by tissue cell culture, or as recombinant antibody molecules produced by bacteria. Janusbody molecules would be produced by bacteria; however, this protein would represent only a portion of a VL domain, consisting of complementarity determining segments (CDRs) and framework (FR turns) regions. This invention would simplify the bacterial production, both with regard to manufacture of any one protein and the method by which changes could be introduced to shift production to another Janusbody molecule with slight differences in amino acid sequence.

The inventors have demonstrated that dimers of one VL domain fragment form a bivalent molecule (two binding sites at either end) in which each site retains the binding characteristics of a conventional antibody molecule. Additional constructs of such proteins that represent this VL domain with introduced amino acid substitutions at one or two sites in the domain have also yielded molecules that retain specific binding characteristics. Additional experiments using additional constructs would be desirable in order to prove the general applicability of this invention.

This invention also covers methods that could be used to manufacture collections or "libraries" of Janusbody genes that differ slightly in their sequence. Each individual gene would lead to the production of a product with slightly different binding characteristics and specificity. This portion of the invention is a concept at this time.

BACKGROUND,  
INCLUDING

RELATED ART: See attached report written by Fred Stevens.

PUBLICATIONS,  
REPORTS

AND TALKS: None at this time.

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35 USC 205 AND 37 CFR 401

## INVENTORS'

**STATUS:**

**STATUS:** The inventors, Fred Stevens, and Marianne Schiffer, are employed by Argonne National Laboratory in the Center for Mechanistic and Biotechnology Division and are citizens of the United States. This invention was conceived under Contract N . W-31-109-ENG-38 between the U.S. Department of Energy (DOE) and The University of Chicago representing Argonne National Laboratory.

**BADGE**

## NUMBERS:

27475  
Fred J. Stevens

09086  
Marianne Schiffer

**FUNDING SOURCE** for research under which invention was conceived:

**ANL: \_\_\_\_\_** LDRD (Laboratory Director Research & Development, previously called Exploratory Research Funds [ERF] or Program Development Funds [PDF])

Were LDRD (or ERF/PDF) funds used to support research that preceded the research during which the invention was conceived? X No      Yes

DOE: FWP No. 61000/B00106 B&R Code: KP-11-01

**Non-ANL/DOE Sponsor:** Name of organization: \_\_\_\_\_

Type of organization: ☐ Federal ☐ State ☐ Private ☐ Not-for-profit

Type of funding document or agreement: ☐ WFO ☐ CRADA ☐ HTSCA ☐ MIPR

Other (specify): \_\_\_\_\_ Agreement No.: \_\_\_\_\_

**PROBABLE VALUE:** This invention covers the manufacture of a type of protein molecule that could replace conventional antibodies currently used in a wide variety of applications.

**RECOMMENDATIONS:** The recommendations of ITD personnel will be provided later.

EXCEPTIONAL

**CIRCUMSTANCES:** This invention is not an exceptional circumstance invention.

**REPORT DATED:** April 27, 1998 - Victoria Henson-Apollonio

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35 USC 205 AND 37 CFR 401

**READ AND UNDERSTOOD BY:**

*[Signature]*  
Inventor  
Signature

5/11/98  
Date

*Doreen K. Hanson*  
Witness  
Signature

5/11/98  
Date

*Giovanna Schiffré*  
Inventor  
Signature

5/11/98  
Date

*Priscilla Wilkins Stevens*  
Witness  
Signature

5/11/98  
Date